

| Excursion list - controversial weapons - 2020 |                          |          |
|---|--------------------------|----------|
| Company name                                  | Country                  | Remarks  |
| Aecom   | United States            |          |
| Aerojet Rocketdyne Holdings, Inc.             | United States            |          |
| Airbus Group SE                               | The Netherlands / France |          |
| Anhui GreatWall Military Ltd.                 | China                    | New 2020 |
| Ashot Ashkelon Industries Ltd.                | Israel                   |          |
| Babcock International Group                   | United Kingdom           |          |
| BAE Systems Plc                               | United Kingdom           |          |
| Bahrat Dynamics Limited                       | India                    |          |
| Boeing Company                                | United States            |          |
| BWX Technologies Inc.                         | United States            |          |
| China National Nuclear Power Co., Ltd.        | China                    |          |
| China Shipbuilding Industry Company Limited   | China                    |          |
| China Spacesat                                | China                    |          |
| CNNC International Limited                    | Hong Kong                |          |
| CNIM S.A.                                     | France                   |          |
| Dassault Aviation S.A.                        | France                   |          |
| Ducommun Incorporated                         | United States            |          |
| Elbit Systems Ltd.                            | Israel                   |          |
| Fluor Corporation                             | United States            |          |
| General Dynamics Corp.                        | United States            |          |
| Hanwha Corporation                            | South Korea              |          |
| Honeywell Automation India Limited            | India                    |          |
| Honeywell International Inc.                  | United States            |          |
| Huntington Ingalls Industries Inc.            | United States            |          |
| Jacobs Engineering Group Inc.                 | United States            |          |
| L&T Finance Holdings Ltd.                     | India                    |          |
| L&T Technology Services Limited               | India                    |          |
| Larsen & Toubro Infotech Limited              | India                    |          |
| Larsen & Toubro Ltd.                          | India                    |          |
| Leonard SpA                                   | Italy                    |          |
| LIG Next Co., Ltd                             | South Korea              | New 2020 |
| Lockheed Martin Corporation                   | United States            |          |
| MindTree Limited                              | India                    | New 2020 |
| Nelco Limjited                                | India                    |          |
| Northrop Grumman Corp                         | United States            |          |
| Poongsan Corporation                          | South Korea              |          |
| Poongsan Holdings Corporation                 | South Korea              |          |
| Raytheon Co.                                  | United States            |          |
| S&T Dynamics Co., Ltd.                        | South Korea              |          |
| S&T Holdings Co., Ltd.                        | South Korea              |          |
| Safran S.A.                                   | France                   |          |
| Serco Group                                   | United Kingdom           |          |
| Tata Power Company Limited                    | India                    |          |
| Textron Inc.                                  | United States            |          |
| Thales  | France                   |          |
| Walchandnagar Industries Limited              | India                    |          |

| Exclusion list – non-compliance to UN Global Compact Principles after insufficient progress of the engagement process - 2020 |               |          |
|--|---------------|----------|
| Company name   | Country       | Remarks  |
| China Petroleum & Chemical Corp. (SINOPEC)   | China         |          |
| Freeport-McMoRan Inc.  | United States |          |
| Grupo México S.A.B. de C.V.  | Mexico        |          |
| MTN  | South Africa  | New 2020 |
| PetroChina Co. Ltd.  | China         |          |
| China Petrochemical Corp.  | China         |          |
| Petroleos de Venezuela S.A. (PDVSA)  | Venezuela     |          |
| Southern Copper Corp.  | United States |          |
| Tokyo Electric Power Company Holdings Inc.   | Japan         |          |

| Exclusion list – coal mining - 2020                                  |                |          |
|--|----------------|----------|
| Company name   | Country        | Remarks  |
| AgriTrade Resources Limited  | Australia      | New 2020 |
| Alliance Resource Partners L.P.                                      | United States  |          |
| Anhui Hengyuan Coal Industry And Electricity Power Co Ltd            | United States  | New 2020 |
| Arch Coal, Inc.  | United States  |          |
| Banpu Public Company Limited   | Thailand       |          |
| Beijing Haohua Energy Resource Co., Ltd.                             | China          | New 2020 |
| Bisichi Mining Plc   | United Kingdom | New 2020 |
| China Coal Energy Company Limited                                    | China          |          |
| China Shenhua Energy Co Ltd  | China          | New 2020 |
| China Shenhua Energy Company Limited                                 | China          |          |
| Cloud Peak Energy Inc.   | United States  |          |
| Coal India Limited   | India          |          |
| Consol Energy Inc.   | United States  |          |
| Datong Coal Industry Co., Ltd.                                       | China          |          |
| DMCI Holdings, INC   | Philippines    | New 2020 |
| Energy Earth PCL   | Thailand       | New 2020 |
| Exxaro Resources Limited   | South Africa   |          |
| Foresight Energy L.P.  | United States  |          |
| Geo Energy Resources Ltd   | Indonesia      | New 2020 |
| Golden Energy & Resources Ltd.                                       | Singapore      | New 2020 |
| Guizhou Panjiang Refined Coal Co., Ltd.                              | China          |          |
| Gujarat Mineral Development Corporation Limited                      | India          | New 2020 |
| Hallador Energy Company  | United States  |          |
| Henan Dayou Energy Co Ltd  | China          | New 2020 |
| Huolinhe Opencut Coal Industry Corporation Limited of Inner Mongolia | China          | New 2020 |
| Ichor Coal NV  | South Africa   | New 2020 |
| Ikwezi Mining Ltd  | South Africa   | New 2020 |
| Inner Mongolia PingZhuang Energy Resources Co.,Ltd                   | China          |          |
| Inner Mongolia Yitai Coal Co., Ltd.                                  | China          |          |
| Intra Energy Corporation Limited                                     | Australia      | New 2020 |
| Jizhong Energy Resources Co., Ltd                                    | China          | New 2020 |
| Kinetic Mines and Energy Ltd   | China          |          |
| Kuzbasskaya Toplivnaya Kompaniya PAO                                 | Russia         |          |
| Lanna Resources Public Company Limited                               | Thailand       | New 2020 |
| Lubelski Wegiel Bogdanka SA  | Poland         |          |
| NACCO Industries Inc   | United States  | New 2020 |
| Nan Nan Resources Enterprise Limited                                 | Hong Kong      | New 2020 |
| New Hope Corporation Limited   | Australia      |          |
| Pardee Resources Company, Inc.                                       | United States  |          |
| Peabody Energy Corporation   | United States  |          |
| Pingdingshan Tianan Coal. Mining Co., Ltd                            | China          |          |
| PT ABM Investama Tbk   | Indonesia      | New 2020 |
| PT Adaro Energy Tbk  | Indonesia      |          |
| PT Atlas Resources Tbk   | Indonesia      | New 2020 |
| PT Baramulti Suksessarana Tbk  | Indonesia      | New 2020 |
| PT Bayan Resources Tbk   | Indonesia      | New 2020 |
| PT Bukit Asam (Persero) Tbk  | Indonesia      | New 2020 |
| PT Bumi Resources Tbk  | Indonesia      |          |
| PT Golden Eagle Energy Tbk   | Indonesia      | New 2020 |
| PT Harum Energy Tbk  | Indonesia      |          |
| PT Indika Energy Tbk   | Indonesia      | New 2020 |
| PT Indo Tambangraya Megah Tbk  | Indonesia      | New 2020 |
| PT Mitrabara Adiperdana Tbk  | Indonesia      | New 2020 |
| PT Resource Alam Indonesia Tbk                                       | Indonesia      | New 2020 |
| PT Toba Bara Sejahtera Tbk   | Indonesia      | New 2020 |
| Rhino Resource Partners LP   | United States  | New 2020 |
| Sasol Limited  | South Africa   |          |
| Semirara Mining Corporation  | Philippines    |          |
| Shaanxi Coal Industry Company Limited                                | China          |          |
| Shanghai Datun Energy Resources Co., Ltd.                            | China          |          |
| Shanxi Lanhua Sci-Tech Venture Co Ltd                                | China          | New 2020 |
| Shanxi Lu'an Environmental Energy Development Co., Ltd.              | China          |          |
| Stanmore Coal Limited  | Australia      | New 2020 |

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| Sundiro Holding Co Ltd                             | China          | New 2020 |
| Tango Gold Mines Inc                               | Canada         | New 2020 |
| Universal Coal PLC                                 | United Kingdom | New 2020 |
| Vinacomin - Cao Son Coal JSC                       | Vietnam        | New 2020 |
| Vinacomin - Deo Nai Coal                           | Vietnam        | New 2020 |
| Vinacomin - Ha Tu Coal                             | Vietnam        | New 2020 |
| Vinacomin - Mong Duong Coal JSC                    | Vietnam        | New 2020 |
| Vinacomin - Vang Danh Coal JSC                     | Vietnam        | New 2020 |
| Vinacomin Coc Sau Coal JSC                         | Vietnam        | New 2020 |
| Vinacomin NuiBeo Coal                              | Vietnam        | New 2020 |
| Washington H. Soul Pattinson and Company Limited   | Australia      | New 2020 |
| Wescoal Holdings Ltd                               | South Africa   |          |
| Westmoreland Coal Company                          | United States  |          |
| Westmoreland Resource Partners, LP                 | United States  | New 2020 |
| White Energy Company Limited                       | Australia      |          |
| Whitehaven Coal Ltd.                               | Australia      |          |
| Yancoal Australia Ltd.                             | Australia      |          |
| Yang Quan Coal Industry (Group) Co., Ltd.          | China          |          |
| Yanzhou Coal Mining Company Limited                | China          |          |
| Zhengzhou Coal Industry & Electric Power Co., Ltd. | China          | New 2020 |

#### Exclusion list – oilsands - 2020

| Company name                       | Country       | Remarks  |
|------------------------------------|---------------|----------|
| Athabasca Oil Corporation          | Canada        | New 2020 |
| Canadian Natural Resources Limited | Canada        | New 2020 |
| Cenovus Energy Inc.                | Canada        | New 2020 |
| Connacher Oil and Gas Ltd.         | Canada        | New 2020 |
| Enbridge Inc                       | Canada        | New 2020 |
| Husky Energy Inc.                  | Canada        | New 2020 |
| Imperial Oil Ltd                   | Canada        | New 2020 |
| MEG Energy Corp.                   | Canada        | New 2020 |
| Pengrowth Energy Corporation       | Canada        | New 2020 |
| Suncor Energy Inc.                 | Canada        | New 2020 |
| Sunshine Oilsands Ltd.             | Canada        | New 2020 |
| TC Energy Corp.                    | Canada        | New 2020 |
| TC PipeLines, LP                   | United States | New 2020 |

#### Exclusion list - tobacco - 2020

| Company name                                    | Country            | Remarks  |
|---|--------------------|----------|
| 22nd Century Group Inc.                         | United States      |          |
| Al-Eqbal Investment Co. Plc.                    | Jordania           |          |
| Altria Group Inc.                               | United States      |          |
| American Heritage International Inc             | United States      | New 2020 |
| British American Tobacco Plc.                   | United Kingdom     |          |
| British American Tobacco (Malaysia) Berhad      | Malaysia           |          |
| British American Tobacco Bangladesh Co. Ltd.    | Bangladesh         |          |
| British American Tobacco Kenya Ltd.             | Kenya              |          |
| British American Tobacco Zimbabwe Holdings Ltd. | Zimbabwe           |          |
| Bulgartabac holding AD                          | Bulgaria           |          |
| CTO Public Company Ltd.                         | Cyprus             |          |
| Canna Corp.                                     | United States      | New 2020 |
| Ceylon Tobacco Co PLC                           | Sri Lanka          | New 2020 |
| China Flavors and Fragrances Co Ltd             | Hong Kong          | New 2020 |
| Coka Duvanska Industrija AD Co                  | Serbia             |          |
| Duvanska Industrija ad Bujanovac                | Serbia             |          |
| Eastern Co SAE                                  | Egypt              |          |
| Fabrika Duhana Sarajevo                         | Bosnia-Herzegovina |          |
| Gilla Inc                                       | United States      | New 2020 |
| Godfrey Phillips India Limited                  | India              |          |
| Golden Tobacco Ltd.                             | India              |          |
| Hrvatski Duhani dd                              | Croatia            |          |
| Huabao International Holdings Limited           | China              |          |
| Imperial Brands Plc.                            | United Kingdom     |          |
| ITC Ltd.  | India              |          |
| Japan Tobacco Inc.                              | Japan              |          |
| Jerusalem Cigarette Co. Ltd.                    | Palestina          |          |
| Karelia Tobacco Company Inc. SA                 | Griekenland        |          |

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|--|---------------------|----------|
| Khyber Tobacco Company Ltd.                        | Pakistan            |          |
| KT&G Corporation                                   | South Korea         |          |
| LT Group Inc.                                      | Philippines         | New 2020 |
| mCig Inc.  | United States       | New 2020 |
| nGan Son   | Vietnam             | New 2020 |
| Nicotiana BT Holding AD                            | Bulgaria            |          |
| NTC Industries Ltd.                                | India               |          |
| Pakistan Tobacco Company Ltd.                      | Pakistan            |          |
| Pazardjik-Bulgartabac AD                           | Bulgaria            | New 2020 |
| Philip Morris (Pakistan) Ltd.                      | Pakistan            |          |
| Philip Morris CR as                                | Czech Republic      |          |
| Philip Morris International Inc.                   | United States       |          |
| PT Bentoel Internasional Investama Tbk             | Indonesia           |          |
| PT Gudang Garam Tbk                                | Indonesia           |          |
| PT Hanjaya Mandala Sampoerna Tbk                   | Indonesia           |          |
| PT Wismilak Inti Makmur Tbk                        | Indonesia           |          |
| Pyxus International Inc.                           | United States       |          |
| Scandinavian Tobacco Group A/S                     | Danmark             |          |
| Shanghai Industrial Holdings Limited               | China               |          |
| Sinnar Bidi Udyog Ltd                              | India               |          |
| Slantse Stara Zagora Tabak AD                      | Bulgaria            |          |
| Societe Ivoirienne des Tabacs SA                   | Ivory Coast         |          |
| Standard Diversified, Inc.                         | United States       | New 2020 |
| Swedish Match AB                                   | Sweden              |          |
| Tanzania Cigarette Co Ltd                          | Tanzania            |          |
| Tian Chang Group Holdings Ltd.                     | Hong Kong           | New 2020 |
| The West Indian Tobacco Company Ltd.               | Trinidad and Tobago |          |
| Turning Point Brands Inc.                          | United States       |          |
| Tutunski Kombinat AD Prilep                        | North Macedonia     |          |
| Union Investment Corp. P.L.C.                      | Jordania            | New 2020 |
| Union Tobacco and Cigarette Industries Company PSC | Jordania            |          |
| Universal Corporation                              | United States       |          |
| Vapor Group Inc.                                   | United States       | New 2020 |
| Vapor Brands International Inc.                    | United States       | New 2020 |
| Vector Group Ltd.                                  | United States       |          |
| VPR Brands LP                                      | United States       | New 2020 |
| VST Industries Ltd.                                | India               |          |
| Wee-Cig International Corp                         | United States       | New 2020 |

| Exclusion list – Sovereign bonds - 2020 |  |
|---|--|
| Country name                            |  |
| Burma / Myanmar                         |  |
| Central African Republic                |  |
| Democratic Republic of Congo            |  |
| Eritrea                                 |  |
| Iran                                    |  |
| Libya                                   |  |
| North Korea                             |  |
| Russia                                  |  |
| Sudan                                   |  |
| South Sudan                             |  |
| Somalia                                 |  |
| Syria                                   |  |
| Venezuela                               |  |
| Belarus                                 |  |
| Zimbabwe                                |  |